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PTO/SB/21 (08-00) Approved for use through 10/31/2002. OMB 0651-0031 Please type a plus sign (+) inside this box -U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 09/854,133 TRANSMITTAL Filing Date May 11, 2001 FORM Michael J. Lodes First Named Inventor **Group Art Unit** (To be used for all correspondence after initial filing) **Examiner Name** Attorney Docket No. 210121.475C10 ENCLOSURES (check all that apply) Fee Transmittal Form **Assignment Papers** CD(s), Number (for an Application) of CD(s) Fee Attached Drawing(s) Amendment/Response After Allowance Request for Corrected Filing After Final Communication to Group Receipt Affidavits/declaration(s) Appeal Communication to Licensing-related Papers Board of Appeals and Extension of Time Request Petition Interferences **Express Abandonment** Petition to Convert to a Appeal Communication to Request Group (Appeal Notice, Brief, **Provisional Application** Information Disclosure Reply Brief) Power of Attorney, Statement; Form PTO-1449 **Proprietary Information** Revocation, Change of (5 sheets) Correspondence Address Status Letter Cited References (1) Declaration Return Receipt Postcard Certified Copy of Priority Statement under 37 CFR Document(s) Additional Enclosure(s) 3.73(b)(please identify below): Response to Missing Parts Terminal Disclaimer under 37 C.F.R. 1.52 or 1.53 **Small Entity Statement** Response to Missing Parts/Incomplete Application Request for Refund Remarks SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Individual Name Jane E. R. Potter 33,332 PATENT TRADEMARK OFFICE Signature Date **CERTIFICATE OF MAILING** I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date specified below.

Monica Steinborn

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TECH CENTER 1600/290 PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants

Michael J. Lodes et al.

Application No.

09/854,133

Filed

May 11, 2001

For

COMPOSITIONS AND METHODS FOR THE THERAPY AND

DIAGNOSIS OF LUNG CANCER

Docket No.

: 210121.475C10

Commissioner for Patents Washington, DC 20231

<u>INFORMATION DISCLOSURE STATEMENT</u>

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicants wish to make known to the Patent and Trademark Office the references set forth on the attached form PTO-1449. This application is a continuation-in-part and relies, under 35 U.S.C. § 120, on the earlier filing date of prior application USAN 09/738,973, filed December 14, 2000; which is a continuation-in-part of USAN 09/704,512, filed November 1, 2000; which is a continuation-inpart of USAN 09/667,170, filed September 20, 2000; which is a continuation-in-part of USAN 09/640,878, filed August 18, 2000; which is a continuation-in-part of USAN 09/588,937, filed June 5, 2000; which is a continuation-in-part of USAN 09/538,037, filed March 29, 2000; which is a continuation-in-part of USAN 09/518,809, filed March 3, 2000; which is a continuation-inpart of USAN 09/476,235, filed December 30, 1999; which is a continuation-in-part of USAN 09/370,838, filed August 9, 1999; which is a continuation-in-part of USAN 09/285,323, filed April 2, 1999. The references listed on pages 1-2 of the attached Forms PTO-1449 were submitted to and/or cited by the Office in these prior applications and, therefore, are not required to be provided in the present application. If the Examiner wishes, copies will be provided upon request. However, the reference listed on page 3 of Forms PTO-1449 was not submitted to and/or cited by the Office and is submitted herewith.

As to any reference made of record on the attached Form PTO-1449, applicants do not admit that it is "prior art" under 35 U.S.C. §§ 102 or 103, and specifically reserve the right to traverse or antedate any such reference, as by a showing under 37 C.F.R. § 1.131 or other method. Although the aforesaid references are made known to the Patent and Trademark Office

2

in compliance with applicants' duty to disclose all information they are aware of which is believed relevant to the examination of the above-identified application, applicants believe that their invention is patentable.

Please acknowledge receipt of this Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

Respectfully submitted, Seed Intellectual Property Law Group PLLC

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